



# ICC-ES Evaluation Report ESR-3074

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**DIVISION: 31 00 00—EARTHWORK**  
**Section: 31 63 00—Bored Piles**

## REPORT HOLDER:

**SUPPORTWORKS, INC.**

## EVALUATION SUBJECT:

**SUPPORTWORKS HELICAL FOUNDATION SYSTEMS**

## 1.0 EVALUATION SCOPE

### Compliance with the following codes:

- 2021, 2018, 2015, 2012, 2009 and 2006 *International Building Code*® (IBC)
- 2021, 2018, 2015, 2012, 2009 and 2006 *International Residential Code*® (IRC)
- 2013 *Abu Dhabi International Building Code* (ADIBC)<sup>†</sup>

<sup>†</sup>The ADIBC is based on the 2009 IBC. 2009 IBC code sections referenced in this report are the same sections in the ADIBC.

For evaluation for compliance with codes adopted by the Los Angeles Department of Building and Safety (LADBS), see [ESR-3074 LABC Supplement](#).

### Properties evaluated:

- Structural
- Geotechnical

## 2.0 USES

### 2.1 IBC:

Under the IBC, Supportworks, Inc. (Supportworks) Models HP288 and HP350 Helical Foundation Systems are used either to underpin foundations of existing structures or to form deep foundations for new structures and are designed to transfer axial compression and axial tension loads from the supported structures to suitable soil bearing strata.

### 2.2 IRC:

Under the IRC, Supportworks, Inc. (Supportworks) Models HP288 and HP350 Helical Foundation Systems may be used as an alternate foundation system supporting light-frame construction, exterior porch deck, elevated walkway and stairway construction and accessory structures.

## 3.0 DESCRIPTION

### 3.1 General:

Supportworks Models HP288 and HP350 helical foundation systems consist of a central lead shaft with one or more helical-shaped steel bearing plates, extension shafts, which may or may not consist of helical bearing plates, shaft couplings that connect multiple shaft sections, and a bracket that allows for attachment to the supported structure. The shafts with helix bearing plates are screwed into the ground by application of torsion and the shaft is extended until a desired depth and/or a suitable soil or bedrock bearing stratum is reached.

### 3.2 System Components:

Supportworks Models HP288 and HP350 helical foundation systems include a lead shaft (HP288L and HP350LS, respectively), extension shafts (HP288E and HP350E, respectively), Type A side-load brackets (FS288BL2 for Model HP288, and FS350B2 for Model HP350), and Type B direct-load brackets (HP288NCB and HP288NCB8 for Model HP288, and HP350NCB and HP350NCB8 for Model HP350), for attachment to concrete foundations.

**Helical Lead Sections and Extensions:** Supportworks helical pile lead sections consist of one or more helical-shaped circular steel plates factory-welded to a central steel shaft. The depth of the helical piles in soil is typically extended by adding one or more steel shaft extensions that are mechanically connected together by couplings, to form one, continuous steel pile.

The central steel shaft of the HP288 lead and extension sections is a round, 27/8-inch-outside-diameter (73 mm), 0.276-inch-nominal-wall-thickness (7.0 mm), hollow structural section. The central steel shaft of the HP350 lead and extension sections is a round, 3 1/2-inch-outside-diameter (88.9 mm), 0.340-inch-nominal-wall-thickness (8.6 mm), hollow structural section. The various shaft lead and extension configurations are listed in Table 5.

Each helical steel bearing plate (helix) is 0.375 inch (9.5 mm) thick and has a 3-inch (76 mm) pitch and circular edge geometry with an outer diameter of 8, 10, 12 or 14 inches (203, 254, 305 or 356 mm). The helices are welded to the helical shaft. The lead helix is located about

4 inches from the tip of the shaft lead section. The extensions may consist of the shaft only or include helix plates.

The HP288 extension section couplings consist of a round, 5.04-inch-long (128 mm), 3<sup>1</sup>/<sub>2</sub>-inch-outside-diameter (89 mm), 0.281-inch-nominal-wall-thickness (7.1 mm), hollow structural section outer sleeve, and two 3/4-inch-diameter (19.1 mm) standard hex threaded bolts and matching standard hex jam nuts. The pipe sleeve is factory-welded to the end of the extension section. (See Figure 3.)

The HP350 extension section couplings consist of a round, 11-inch-long (279.4 mm), 4<sup>1</sup>/<sub>4</sub>-inch-outside-diameter (108 mm), 0.344-inch-nominal-wall-thickness (8.7 mm), hollow structural section outer sleeve, and four 1-inch-diameter (25.4 mm) standard hex threaded bolts and matching standard hex jam nuts. The pipe sleeve is slip-fitted over the connected sections. (See Figure 4.)

**3.2.1 Brackets:** Brackets are constructed with factory-welded steel plate and steel pipe components. The different brackets are described in Sections 3.2.2.1 through 3.2.2.3.

**3.2.1.1 Retrofit Bracket Assembly FS288BL2:** The FS288BL2 bracket assembly is designed for use with the HP288 helical shaft and is used to transfer axial compressive loading from existing concrete foundations to the HP288 helical piles. The bracket assembly consists of an FS288BL2 bracket, an external pipe sleeve (FS288ES30), a cap plate (FS288C), two threaded rods and matching nuts. (See Figure 1A.)

The FS288BL2 bracket is constructed from factory-welded, 0.250-inch-, 0.3125-inch-and-0.375-inch-thick (6.4 mm, 7.9 mm and 9.5 mm) steel plates.

The external sleeve (FS288ES30) is manufactured from a 30-inch-long (762 mm), 3<sup>1</sup>/<sub>2</sub>-inch-outside-diameter (89 mm) and 0.216-inch-nominal-wall-thickness (5.5 mm) pipe with one factory-flared end.

The FS288C cap plate is manufactured from a 1-inch-thick (25.4 mm), 4-inch-wide (102 mm), 8.25-inch-long (210 mm) steel plate. The cap plate is attached to the retrofit bracket with two 3/4-inch-diameter-by-16-inch-long (19.1 mm by 406 mm) threaded rods, and matching 3/4-inch (19.1 mm) heavy hex nuts. (See Figure 1A.)

**3.2.1.2 Retrofit Bracket Assembly FS350B2:** The FS350B2 bracket assembly is designed for use with the HP350 helical shaft and is used to transfer axial compressive loading from existing concrete foundations to the HP350 helical piles. The bracket assembly consists of an FS350B2 bracket, an external pipe sleeve (FS350ES30), a cap plate (FS350C), two threaded rods and matching nuts. (See Figure 1B.)

The FS350B2 brackets are constructed from factory-welded, 0.250-inch-, 0.375-inch- and 0.500-inch-thick (6.4 mm, 9.5 mm, and 12.7 mm) steel plates and 4.5-inch-outside-diameter (114 mm) and 0.337-inch-nominal-wall-thickness (8.6 mm) pipe.

The external sleeve (FS350ES30) is manufactured from a 30-inch-long (762 mm), 4-inch-outside-diameter (102 mm) and 0.226-inch-nominal-wall-thickness (6.6 mm) pipe with one factory-flared end.

The FS350C cap plate is manufactured from a 1.25-inch-thick (31.8 mm), 4-inch-wide (102 mm), 8.5-inch-long (216 mm) steel plate. The cap plate is attached to the retrofit bracket with two 7/8-inch-diameter-by-24-inch-long (22.2 mm by 610 mm) threaded rods, and matching 7/8-inch (22.2 mm) heavy hex nuts. (See Figure 1B.)

**3.2.1.3 New Construction Brackets HP288NCB, HP288NCB8, HP350NCB and HP350NCB8:** HP288NCB, HP288NCB8, HP350NCB and HP350NCB8 brackets are designed for embedment in cast-in-place concrete foundations. The brackets are used to support axial tensile and compressive loads that are concentric with the longitudinal axis of the shaft. (See Figures 2A and 2B.)

The HP288NCB bracket is manufactured from a 4.65-inch-long (118.1 mm), 3<sup>1</sup>/<sub>2</sub>-inch-outside-diameter (89 mm), 0.250-inch-nominal-wall-thickness (6.4 mm) steel pipe sleeve which is factory-welded to a 3/4-inch-thick (19.1 mm), 6-inch-square (152 mm) steel cap plate. The bracket is attached to the shaft with two 3/4-inch-diameter (19.1 mm) standard hex threaded bolts and matching 3/4-inch (19.1 mm) standard hex jam nuts. (See Figure 2A.)

The HP288NCB8 bracket is identical to the HP288NCB bracket except that the HP288NCB8 cap plate is an 8-inch-square (203 mm) steel plate. (See Figure 2A.)

The HP350NCB bracket is manufactured from a 5.53-inch-long (140.5 mm), 4<sup>1</sup>/<sub>4</sub>-inch-outside-diameter (108 mm), 0.313-inch-nominal-wall-thickness (8.0 mm) steel pipe sleeve which is factory-welded to a 3/4-inch-thick (19.1 mm), 7-inch-square (178 mm) steel cap plate. The bracket is attached to the shaft with two 1-inch-diameter (25.4 mm) standard hex threaded bolts and matching 1-inch (25.4 mm) standard hex jam nuts. (See Figure 2B.)

The HP350NCB8 bracket is identical to the HP350NCB bracket except that the HP350NCB8 cap plate is an 8-inch-square (203 mm) steel plate. (See Figure 2B.)

### 3.3 Material Specifications:

**3.3.1 HP288 Lead and Extension Shafts:** The HP288 leads, and extensions are carbon steel round structural tubes that conform to ASTM A500, Grade B or C, having a minimum yield strength of 60 ksi (413 MPa) and a minimum tensile strength of 70 ksi (483 MPa). The shaft finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.2 HP350 Lead and Extension Shafts:** The HP350 leads, and extensions are carbon steel round structural tubes that conform to ASTM A500, Grade B or C, having a minimum yield strength of 65 ksi (448 MPa) and a minimum tensile strength of 75 ksi (517 MPa). The shaft finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

### 3.3.3 Shaft Coupling:

**3.3.3.1 Pipe Sleeves (For HP288 and HP350 Shafts):** The sleeves are carbon steel round structural tubing that conforms to ASTM A513, Type 5, Drawn Over a Mandrel (DOM), Grade 1026, having a minimum yield strength of 70 ksi (483 MPa) and a minimum tensile strength of 80 ksi (552 MPa). The sleeve finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.3.2 HP288 Bolts and Nuts:** The steel coupling bolts are 3/4–10 UNC 2A standard hex bolts conforming to SAE J429, Grade 5, having a minimum yield strength of 92 ksi (634 MPa) and a minimum tensile strength of 120 ksi (827 MPa). The matching steel nuts are 3/4–10 UNC 2B standard hex jam nuts, conforming to SAE J995, Grade 5. The bolts and nuts are zinc-coated in accordance with ASTM B633, with coating classification Fe/Zn 8.

**3.3.3.3 HP350 Bolts and Nuts:** The steel coupling bolts are 1–8 UNC 2A standard hex bolts conforming to SAE J429, Grade 5, having a minimum yield strength of 92 ksi (634 MPa) and a minimum tensile strength of 120 ksi

(827 MPa). The matching steel nuts are 1–8 UNC 2B standard hex jam nuts, conforming to SAE J995, Grade 5. The bolts and nuts are zinc-coated in accordance with ASTM B633, with coating classification Fe/Zn 8.

**3.3.4 Helix Plates (For HP288 and HP350 Shafts):** The steel plates conform to ASTM A572, Grade 50, having a minimum yield strength of 50 ksi (345 MPa) and a minimum tensile strength of 65 ksi (448 MPa). The helix finish is the same as that of the shaft to which the helix is factory-welded.

### 3.3.5 Retrofit Bracket Assembly FS288BL2 :

**3.3.5.1 FS288BL2 Bracket:** The steel plates used in the FS288BL2 bracket conform to ASTM A572, Grade 50, having a minimum yield strength of 50 ksi (345 MPa) and a minimum tensile strength of 65 ksi (448 MPa). The bracket finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.5.2 FS288ES30 Sleeve:** The carbon steel structural round tubing, used for the 30-inch-long (762 mm) sleeve, conforms to ASTM A500, Grade B or C, having a minimum yield strength of 50 ksi (345 MPa) and a minimum tensile strength of 62 ksi (427 MPa). The sleeve finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.5.3 FS288C Cap Plate:** The steel cap plate conforms to ASTM A572, Grade 65, having a minimum yield strength of 65 ksi (448 MPa) and a minimum tensile strength of 80 ksi (552 MPa). The cap plate assembly finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.5.4 Threaded Rods and Nuts:** The  $\frac{3}{4}$ -inch-diameter steel threaded rods conform to ASTM A193, Grade B7, having a minimum yield strength of 105 ksi (724 MPa) and a minimum tensile strength of 125 ksi (862 MPa). The matching  $\frac{3}{4}$ -inch-diameter steel heavy hex nuts conform to ASTM A563 Grade DH or DH3, or ASTM A194 Grade 2H. The threaded rods and nuts are zinc-coated in accordance with ASTM B633, with coating classification Fe/Zn 8.

### 3.3.6 Retrofit Bracket Assembly FS350B2:

**3.3.6.1 FS350B2 Bracket:** The steel plates used in the bracket conform to ASTM A572, having a minimum yield strength of 50 ksi (345 MPa) and a minimum tensile strength of 65 ksi (448 MPa). The carbon steel structural round tubing in the bracket, conforms to ASTM A500, Grade C, having a minimum yield strength of 46 ksi (317 MPa) and a minimum tensile strength of 62 ksi (427 MPa). The bracket finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.6.2 FS350ES30 Sleeve:** The carbon steel structural round tubing, used for the 30-inch-long (762 mm) sleeve, conforms to ASTM A500, Grade B or C, having a minimum yield strength of 50 ksi (345 MPa) and a minimum tensile strength of 62 ksi (427 MPa). The sleeve finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.6.3 FS350C Cap Plate:** The  $1\frac{1}{4}$ -inch-thick (31.8 mm) steel plate conforms to ASTM A572, Grade 50, having a minimum yield strength of 50 ksi (345 MPa) and a minimum tensile strength of 65 ksi (448 MPa). The cap plate finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.6.4 Threaded Rods and Nuts:** The  $\frac{7}{8}$ -inch-diameter steel threaded rods conform to ASTM A193, Grade B7, having a minimum yield strength of 105 ksi (724 MPa) and

a minimum tensile strength of 125 ksi (862 MPa). The matching  $\frac{7}{8}$ -inch-diameter steel heavy hex nuts conform to ASTM A563 Grade DH or DH3, or ASTM A194 Grade 2H. The threaded rods and nuts are zinc-coated in accordance with ASTM B633, with coating classification Fe/Zn 8.

### 3.3.7 New Construction Brackets HP288NCB, HP288NCB8, HP350NCB and HP350NCB8:

**3.3.7.1 Plates:** The steel plates conform to ASTM A36, having a minimum yield strength of 36 ksi (248 MPa) and a minimum tensile strength of 58 ksi (400 MPa). The plate finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.7.2 Pipe Sleeves:** The pipe sleeves are steel round structural tubes that conform to ASTM A513, Type 5, DOM, Grade 1026, having a minimum yield strength of 70 ksi (483 MPa) and a minimum tensile strength of 80 ksi (552 MPa). The sleeve finish is either plain steel or hot-dip galvanized in accordance with ASTM A123.

**3.3.7.3 Bolts and Nuts:** The steel bolts and nuts are those described in Section 3.3.3.2 for the HP288 shaft and Section 3.3.3.3 for the HP350 shaft.

## 4.0 DESIGN AND INSTALLATION

### 4.1 Design:

**4.1.1 General:** Structural calculations (analysis and design) and drawings, prepared by a registered design professional, must be approved by the code official for each project, and must be based on accepted engineering principles as described in IBC Section 1604.4, and must conform to Section 1810 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808 of the 2006 IBC). Under the IRC, the registered design professional must design the helical pile system and devices, including the bracket, used as a foundation element. The applied loads must not exceed the published capacities shown in this report for the helical pile system and devices. The registered design professional may determine the design forces in accordance with IRC Section R301 or, as an alternate, in accordance with IBC provisions. The design method for the steel components is Allowable Strength Design (ASD), described in IBC Section 1602 and AISC 360 Section B3.4. The structural analysis must consider all applicable internal forces due to applied loads, structural eccentricity, and maximum spans between helical foundations. The result of this analysis, and the structural capacities, shall be used to select a helical foundation system.

The ASD capacities of Supportworks helical foundation system components are indicated in Tables 1, 2, 3, and 5. The geotechnical analysis must address the suitability of the helical foundation system for the specific project. It must also address the center-to-center spacing of the helical piles, considering both effects on the supported foundation and structure and group effects on the pile-soil capacity. The analysis must include estimates of the axial tension and/or compression capacities of the helical piles, whatever is relevant for the project, and the expected total and differential foundation movements due to single pile or pile group, as applicable.

A written report of the geotechnical investigation must be submitted to the code official as one of the required submittal documents, prescribed in Section 107 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 106 of the 2006 IBC), at the time of the permit application. Under the IRC, a site-specific soil investigation report is not required if the helical pile system described in the evaluation report



is being installed to support IRC structures defined in Section 2.2 of this report and the soil capacity of the helical pile is established in accordance with Equation 4 in Section 4.1.5 of this report. The geotechnical report must include, but need not be limited to, the following information:

1. A plot showing the location of the soil investigation.
2. A complete record of the soil boring and penetration test logs and soil samples.
3. A record of soil profile.
4. Information on groundwater table, frost depth and corrosion-related parameters, as described in Section 5.6 of this report.
5. Soil properties, including those affecting the design such as support conditions for the piles.
6. Recommendations for design criteria, including but not limited to mitigations of effects of differential settlement and varying soil strength, and effects of adjacent loads.
7. Field inspection and reporting procedures (to include procedures for verification of the installed bearing capacity when required).
8. Load test requirements.
9. Any questionable soil characteristics and special design provisions, as necessary.

**4.1.2 Bracket Capacity (P1):** Only the localized limit state of concrete bearing strength in compression has been evaluated for this evaluation report. All other limit states related to the concrete foundation, such as those limit states described in Chapter 17 of ACI 318-19 under the 2021 IBC, Chapter 17 of ACI 318-14 under the 2018 and 2015 IBC (ACI 318 Appendix D under the 2012, 2009 and 2006 IBC), punching (two-way) shear, beam (one-way) shear, and flexural (bending) related limit states, have not been evaluated for this evaluation report. The concrete foundation must be designed and justified to the satisfaction of the code official with due consideration to all applicable limit states, and the direction and eccentricity of applied loads, including reactions provided by the brackets acting on the concrete foundation. Under Seismic Design Categories D, E and F, the bracket must be designed in accordance with 2021, 2018, 2015, 2012 and 2009 IBC Section 1810.3.11.2 (2006 IBC Section 1808.2.23), as applicable. (See Tables 1, 2 and 3.)

**4.1.3 Shaft Capacity (P2):** The tops of shafts must be braced as prescribed in Section 1810.2.2 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.5 of the 2006 IBC). In accordance with Section 1810.2.1 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.9 of the 2006 IBC), any soil other than fluid soil is deemed to afford sufficient lateral support to prevent buckling of systems that are braced. When piles are standing in air, water or fluid soils, the unbraced length is defined as the length of pile that is standing in air, water or fluid soils plus an additional 5 feet (1524 mm) when embedded into firm soil, or an additional 10 feet (3048 mm) when embedded into soft soil. Firm soils are defined as any soil with a Standard Penetration Test (SPT) blow count of five or greater. Soft soil is defined as any soil with an SPT blow count greater than zero and less than five. Fluid soil is defined as any soil with an SPT blow count of zero [weight of hammer (WOH) or weight of rods (WOR)]. The SPT blow counts must be determined in accordance with ASTM D1586. Under the IRC, when helical pile shafts are fully embedded into soil conditions defined in IRC Table R401.4.1 the helical pile shafts are deemed adequately

supported to prevent buckling. For fully braced conditions where the pile is installed in accordance with Section 1810.2.2 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.5 of the 2006 IBC) and piles do not stand in air, water, or fluid soils, the allowable shaft capacities must not exceed the maximum design loads shown in Tables 1, 2 and 5. Shaft capacities of helical foundation systems in air, water or fluid soils must be determined by a registered design professional. The ASD shaft tension capacities are shown in Tables 3 and 5, the ASD shaft compression capacities are shown in Tables 1, 2 and 5, and the shaft torsional rating is shown in Table 5.

Where the helical pile is subject to resist lateral forces, the lateral shaft capacity must be determined by a registered design professional.

The elastic shortening/lengthening of the pile shaft will be controlled by the applied loads and the mechanical and geometrical properties of the HP288 or HP350 shafts and the shaft couplings. The shaft elastic shortening or lengthening can be determined from the equation:

$$\Delta_{\text{shaft}} = \frac{P \times L}{A \times E} \quad (\text{Eq. 1})$$

where:

- $\Delta_{\text{shaft}}$  = change in shaft length due to elastic shortening or lengthening (inches)
- P = applied axial compression or tension load (lbf)
- L = pile shaft length (inches)
- A = shaft cross-sectional area (in<sup>2</sup>) (see Table 4)
- E = shaft steel modulus of elasticity (psi) (see Table 4)

**4.1.4 Helix Plate Capacity (P3):** The allowable axial compression and tension load capacities (P3) for each individual helical plate diameter (8, 10, 12 or 14 inches) is 40 kips (177.9 kN). (See Tables 1, 2, 3 and 5.) For helical piles with more than one helix, the allowable helix capacity (P3) for the helical foundation system may be taken as the sum of the allowable capacity of each individual helix.

**4.1.5 Soil Capacity (P4):** The allowable axial compressive or tensile soil capacity (P4) can be estimated by a registered design professional in accordance with a site-specific geotechnical report, as described in Section 4.1.1, combined with the individual helix bearing method (Method 1), or from field loading tests conducted under the supervision of a registered design professional (Method 2). For either Method 1 or Method 2, the predicted axial load capacities must be confirmed during the site-specific production installation, such that the axial load capacities predicted by the torque correlation method are equal to or greater than those predicted by Method 1 or 2, described above.

With the individual helix bearing method, the total nominal axial load capacity of the helical pile is determined as the sum of the individual areas of the helical bearing plates times the ultimate bearing capacities of the soil or rock comprising the respective bearing strata for the plates. Under the 2021 IBC, the axial capacity also includes the shaft resistance. The shaft resistance is equal to the area of the shaft above the uppermost helical bearing plate times the ultimate skin resistance.

The design allowable axial load must be determined by dividing the total ultimate axial load capacity predicted by either Method 1 or 2, above, by a factor of safety (FOS) of at least 2.0.

Under the IRC, if the helical pile system is being installed to support structures governed by the IRC as defined in

Section 2.2 of this report, and a site-specific geotechnical report is not available, a FOS of 2.5 must be used with the torque correlation method in lieu of Method 1 or 2 to determine allowable soil capacity of the pile (Equation 4).

With the torque correlation method, the total ultimate and allowable axial load capacities are predicted as follows:

$$Q_{ult} = K_t T \quad (\text{Eq. 2})$$

$$Q_{all} = Q_{ult}/\text{FOS} \quad (\text{Eq. 3})$$

$$\text{FOS} \geq 2.0$$

$$Q_{all} = Q_{ult}/\text{FOS} \quad (\text{Eq. 4})$$

$$\text{FOS} \geq 2.5$$

Where:

$Q_{ult}$  = Ultimate axial tensile or compressive capacity (lbf or N) of the helical piles.

$Q_{all}$  = Allowable axial tensile or compressive capacity (P4) (lbf or N) of the helical piles. See Tables 1, 2, 3 and 5 for the allowable soil capacity of the HP288 and HP350 systems, based on the torque correlation method.

$K_t$  = Torque correlation factor. (See Table 5.)

$T$  = Final installation torque, which is the final torque recorded at the termination (final) depth of the installed pile during the field installations (lbf-ft or N-m).

Where the helical pile system is required to resist lateral forces, the lateral soil capacity must be determined by a registered design professional in accordance with 2021, 2018, 2015, 2012 and 2009 IBC Section 1810.3.3.2 (2006 IBC Section 1808.2.9.3), as applicable.

**4.1.6 Foundation System:** The ASD allowable capacity of the Supportworks helical foundation system in tension and compression depends upon the analysis of interaction of brackets, shafts, helical plates, and soils; must be the lowest value of P1, P2, P3 and P4.

**4.1.6.1 Foundation System (2021, 2018, 2015, 2012 and 2009 IBC):** Under the 2021, 2018, 2015, 2012 and 2009 IBC, the additional requirements described in this section (Section 4.1.6.1) must be satisfied. For all design methods permitted under Section 4.1.1 of this report, the allowable axial compressive and tensile load of the helical pile system must be based on the least of the following conditions in accordance with 2021, 2018, 2015, 2012 and 2009 IBC Section 1810.3.3.1.9:

- P4: Allowable load predicted by the individual helix bearing method (or Method 1) described in Section 4.1.5 of this report.
- P4: Allowable load predicted by the torque correlation method described in Section 4.1.5 of this report.
- P4: Allowable load predicted by dividing the ultimate capacity determined from load tests (Method 2 described in Section 4.1.5) by a FOS of at least 2.0. This allowable load will be determined by a registered design professional for each site-specific condition. Under the 2021 IBC, the load tests must comply with 2021 IBC Section 1810.3.3.1.2.
- P2: Allowable capacities of the shaft and shaft couplings. See Section 4.1.3 of this report.
- P3: Sum of the allowable axial capacity of helical bearing plates affixed to the pile shaft. See Section 4.1.4 of this report.
- P1: Allowable axial load capacity of the bracket. See Section 4.1.2 of this report.

## 4.2 Installation:

**4.2.1 General:** The Supportworks helical foundation systems must be installed by Supportworks trained and certified installers. The Supportworks helical foundation systems must be installed in accordance with Section 4.2, 2021, 2018, 2015, 2012 and 2009 IBC Section 1810.4.11, site-specific approved construction documents (engineering drawings and specifications), and the manufacturer's written installation instructions. In case of conflict, the most stringent requirement governs.

**4.2.2 Helical Pile Installation:** The helical piles are typically installed using hydraulic rotary motors having forward and reverse capabilities. The foundation piles must be aligned both vertically and horizontally as specified in the approved plans. The helical piles must be installed in a continuous manner with the pile advancing at a rate equal to at least 85 percent of the helix pitch per revolution at the time of final torque measurement. Installation speeds must be limited to less than 25 revolutions per minute (rpm). The lead and extension sections must be attached to the drive head with a product adaptor supplied by Supportworks. Torque readings must be taken at minimum intervals corresponding to each lead or extension section length and at final termination depth. The lead and extension sections are connected with the coupling bolts and nuts described in Section 3.2.1 and tightened to a snug-tight condition as defined in Section J3 of AISC 360. The final installation torque must equal or exceed that as specified by the torque correlation method (see Section 4.1.5), in order to support the allowable design loads of the structure using a torque correlation factor ( $K_t$ ) of  $9 \text{ ft}^{-1}$  ( $29.5 \text{ m}^{-1}$ ) for the HP288 shaft and a  $K_t$  of  $7 \text{ ft}^{-1}$  ( $23.0 \text{ m}^{-1}$ ) for the HP350 shaft. The installation torque must not exceed 7,898 lbf-ft (10,708 N-m) for the HP288 shaft and must not exceed 17,500 lbf-ft (23,727 N-m) for the HP350 shaft. See Section 5.0 for further installation conditions of use.

## 4.2.3 Retrofit Bracket Installation:

1. An area must be excavated to expose the footing with an excavation approximately 3 feet (914 mm) square and with a depth of about 13 inches (330 mm) below the bottom of the footing. The soil is removed below the bottom of the footing to about 9 inches (229 mm) from the footing face in the area where the bracket bearing plate will be placed. The vertical and bottom faces of the footing must, to the extent possible, be smooth and at right angles to each other for the mounting of the support bracket.
2. Notching of footings may be needed to place the retrofit bracket directly under the wall/column. Notching must be performed, however, only with the acceptance of the registered design professional and the approval of the code official.
3. The bearing surfaces of the concrete (bottom and side of footing) must be prepared so that they are smooth and free of all soil, debris, and loose concrete so as to provide a full and firm contact of the retrofit bracket plates.
4. The edge of the lead section shaft must be located about  $1\frac{1}{2}$  inches (38 mm) from the bottom edge of the footing with a required angle of inclination of  $2.5 \pm 1.0$  degrees from the vertical for the HP288 shaft and  $3.2 \pm 1.0$  degrees from the vertical for the HP350 shaft. Installation must be as described in Section 4.2.2.
5. When the final bearing depth is reached, the pile shafts are cut to approximately 13 inches (330 mm) above the bottom of footing.

6. The external sleeve must be placed through the bracket body and over the shaft. Once under the footing, the bracket must be rotated 180 degrees toward the footing. The bracket must be raised up to the footing and held in place while the thread rods and cap plate are attached.
7. The cap plate and all thread rods and tightening nuts must be installed to snug the bracket to the bottom of the footing.
8. Soil must be placed and compacted up to the bottom of the bracket prior to structural lift or load transfer.
9. A lift cylinder can be used to lift the structure to desired elevation and to transfer the designated portion of the foundation load to the helical pile system.
10. Lifting of the existing foundation structure must be verified by the registered design professional and is subject to approval of the code official to ensure that the foundation and superstructure are not overstressed.
11. Field installation logs must be completed, and excavation pits or trenches must be backfilled and compacted. When possible or as required by the approved construction document, grades or other means must be constructed to allow proper, positive surface drainage away from the structure.

#### 4.2.4 New Construction Bracket Installation:

1. The helical pile must be installed in accordance with Section 4.2.2 with an allowable angular tolerance of  $\pm 1$  degree from vertical.
2. The top of pile elevation must be established and must be consistent with the specified elevation. If necessary, the pile can be cut off in accordance with the manufacturer's instructions at the required elevation.
3. The new construction bracket must be placed over the top of the pile, with the bracket cap plate in full, direct contact (bearing) with the top of the pile shaft.
4. If the pile is used to resist tension forces, the new construction bracket must be embedded with proper distance into the footing or grade beam as required to resist the tension loads as determined by a registered design professional. For piles used to resist tension, each new construction bracket must be through-bolted to the helical pile shaft with two bolts and matching nuts as specified in Sections 3.2.1.3 and 3.3.7.3 and installed to a snug-tight condition in accordance with Section 4.2.2. Refer to Tables 2 and 3 for the proper embedded edge distance requirements for the shaft and bracket.

### 4.3 Special Inspection:

#### 4.3.1 IRC:

Continuous special inspection in accordance with Section 1705.9 of the 2021, 2018, 2015 and 2012 IBC (Section 1704.10 of the 2009 IBC, and Section 1704.9 of the 2006 IBC) must be provided for the installation of foundation piles and foundation brackets. Where on-site welding is required, special inspection in accordance with Section 1705.2 of the 2021, 2018, 2015 and 2012 IBC (Section 1704.3 of the 2009 and 2006 IBC) is also required. Items to be confirmed by the special inspector include, but are not limited to, the manufacturer's certification of installers, verification of the product manufacturer, helical pile and bracket configuration and identification, inclination and position of the helical piles, the installation torque and depth

of the foundation piles, compliance of the installation with the approved construction documents and this evaluation report.

#### 4.3.2 IRC:

Continuous special inspection of helical pile system and devices installed under the provisions of the IRC defined in this report is not required.

### 5.0 CONDITIONS OF USE

Supportworks, Inc. (Supportworks) Models HP288 and HP350 Helical Foundation Systems described in this report comply with, or are suitable alternatives to what is specified in, those codes indicated in Section 1.0 of this report, subject to the following conditions:

- 5.1 The Supportworks helical foundation systems are manufactured, identified, and installed in accordance with this report, approved construction documents (engineering drawings and specifications), and the manufacturer's written installation instructions. In case of conflict, the most stringent requirement governs.
- 5.2 The Supportworks helical foundation systems have been evaluated for support of structures assigned to Seismic Design Categories (SDCs) A through F in accordance with the IBC; and SDCs A through C; D through D<sub>2</sub>; and E in accordance with the IRC. Anchorage of brackets to foundation grade beams must be determined by a registered design professional for each project in accordance with Section 5.3 of this report and subject to approval by the code official.
- 5.3 When installed in Seismic Design Categories D, E and F under the IBC; and D through D<sub>2</sub> and E under the IRC, the following conditions must be considered:
  - a. The strength of the top bracket connection to the shaft and to the foundation of the structure shall comply with 2021, 2018, 2015, 2012 and 2009 IBC Section 1810.3.11.2 (2006 IBC Section 1808.2.23), as applicable, and must not exceed the published capacities noted in Section 4.1.1 of this report.
  - b. The shaft seismic flexural length must be determined by a registered design professional in accordance with applicable code sections of the IBC. The shaft seismic flexural length is defined as the length of the shaft equal to 120 percent of the shaft flexural length.
  - c. The shaft couplers shall be limited to the shaft capacity reported in Section 4.1.3 of this report and must comply with the requirements in IBC Section 1810.3.6.1.
  - d. The analysis of the seismic forces imparted on the bracket, bracket connection to foundation and pile must be prepared by a registered design professional taking into account soil characteristics such as liquefiable zone, length of pile in air or length of fluid conditions per the appropriate code.
- 5.4 Installations of the helical foundation systems are limited to regions of concrete members where analysis indicates no cracking occurs at service load levels.
- 5.5 Retrofit and new construction brackets must be used only to support structures that are laterally braced as defined in Section 1810.2.2 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.5 of the 2006 IBC).



- 5.6** Use of Supportworks helical foundation systems in exposure conditions to soil that are indicative of potential pile deterioration or corrosion situations as defined by the following: (1) soil resistivity of less than 1,000 ohm-cm; (2) soil pH of less than 5.5; (3) soils with high organic content; (4) soil sulfate concentrations greater than 1,000 ppm; (5) soils located in a landfill; or (6) soil containing mine waste, is beyond the scope of this evaluation report.
- 5.7** Zinc-coated steel and bare steel components must not be combined in the same system, except where the sacrificial thickness ( $T_s$ ) for the zinc-coated components is taken as that given for bare steel components (0.036 inch or 915  $\mu\text{m}$ ). All helical foundation components must be galvanically isolated from concrete reinforcing steel, building structural steel, or any other metal building components.
- 5.8** The new construction helical piles (piles with new construction brackets) must be installed vertically plumb into the ground with a maximum allowable angle of inclination tolerance of  $0^\circ \pm 1^\circ$ . To comply with requirements found in Section 1810.3.1.3 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.8 of the 2006 IBC), the superstructure must be designed to resist the effects of helical pile mislocation.
- 5.9** The retrofit helical piles must be installed at a maximum angle of inclination of  $2.5 \pm 1.0$  degrees from the vertical for the HP288 shaft and  $3.2 \pm 1.0$  degrees from the vertical for the HP350 shaft.
- 5.10** Special inspection is provided in accordance with Section 4.3 of this report.
- 5.11** Engineering calculations and drawings, in accordance with recognized engineering principles as described in IBC Section 1604.4, and complying with Section 4.1 of this report and prepared by a registered design professional, are provided to, and approved by, the code official.
- 5.12** The adequacy of the concrete structures that are connected to the Supportworks brackets must be verified by a registered design professional, in accordance with applicable code provisions, such as Chapter 13 of ACI 318-19 under the 2021 IBC, Chapter 13 of ACI 318-14 under the 2018 and 2015 IBC (Chapter 15 of ACI 318-11, -08 and -05 under the 2012, 2009 and 2006 IBC respectively) and Chapter 18 of the IBC. The adequacy is subject to the approval of the code official.
- 5.13** A geotechnical investigation report for each project site must be provided to the code official for approval, when required, as prescribed in Section 4.1.1 of this report.
- 5.14** When using the alternative basic load combinations prescribed in 2021 IBC Section 1605.2 (2018, 2015, 2012, 2009 and 2006 IBC Section 1605.3.2), the allowable stress increases permitted by material chapters of the IBC (including Chapter 18) or the referenced standards are prohibited.
- 5.15** The minimum helical pile center-to-center spacing must be three times the largest helical bearing plate diameters at the depth of bearing. For piles with closer spacing, the pile allowable load reductions due to pile group effects must be included in the geotechnical report described in Section 4.1.1 of this report and must be considered in the pile design by a registered design professional. The spacing and load

reductions, if applicable, are subject to the approval of the code official.

- 5.16** For piles supporting tension loads, the piles must be installed such that the minimum depth from the ground surface to the uppermost helix is 12D, where D is the diameter of the largest helix. In cases where the installation depth is less than 12D, the minimum embedment depth must be determined by a registered design professional based on site-specific soil conditions, and the determination is subject to the approval of the code official. For tension applications where the helical pile is installed at an embedment depth of less than 12D, the torque-correlation soil capacity, P4, is outside of the scope of this evaluation report.
- 5.17** Evaluation of compliance with Section 1810.3.11.1 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.23.1.1 of the 2006 IBC) for buildings assigned to Seismic Design Category (SDC) C, and with Section 1810.3.6 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.7 of the 2006 IBC) for all buildings, is outside the scope of this evaluation report. Such compliance must be addressed by a registered design professional for each site, and the work of the design professional is subject to approval of the code official.
- 5.18** Requirements listed in the footnotes to Tables 1, 2, 3, and 5 must be satisfied.
- 5.19** Settlement of helical piles is beyond the scope of this evaluation report and must be determined by a registered design professional as required in Section 1810.2.3 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.12 of the 2006 IBC).
- 5.20** The Supportworks helical foundation systems are manufactured at the following facilities: Behlen Manufacturing Company, 4025 East 23<sup>rd</sup> Street, Columbus, Nebraska; and TSA Manufacturing, 14901 Chandler Road, Omaha, Nebraska. Manufacturing is done under a quality-control program with inspections by ICC-ES.

## 6.0 EVIDENCE SUBMITTED

Data in accordance with the ICC-ES Acceptance Criteria for Helical Pile Systems and Devices (AC358), dated June 2020 (editorially revised March 2021).

## 7.0 IDENTIFICATION

- 7.1** The ICC-ES mark of conformity, electronic labeling, or the evaluation report number (ICC-ES ESR-3074) along with the name, registered trademark, or registered logo of the report holder must be included in the product label.
- 7.2** In addition, The Supportworks helical foundation system components described in this report are identified by labels that include the report holder's name (Supportworks, Inc.); the name and address of Behlen Manufacturing Company or TSA Manufacturing; the product name; the model number (HP288 or HP350); the part number; and the evaluation report number (ESR-3074).
- 7.3** The report holder's contact information is the following:

**SUPPORTWORKS, INC.**  
**11850 VALLEY RIDGE DRIVE**  
**PAPILLION, NEBRASKA 68046**  
**(800) 281-8545**  
[www.supportworks.com](http://www.supportworks.com)  
[kolson@supportworks.com](mailto:kolson@supportworks.com)

**TABLE 1—HP288 AND HP350 (WITH RETROFIT BRACKETS) ASD COMPRESSION CAPACITIES**

Bracket Part No. <sup>1</sup>	Sleeve Part No. <sup>1</sup>	Bracket Description	Allowable Compression Capacity (kips)					
			Bracket (P1) <sup>2</sup>	Shaft (P2) <sup>3</sup>	Helix (P3) <sup>4</sup> (Per Helix Plate)	Minimum Number of Helix Plates <sup>5</sup>	Soil (P4) <sup>6</sup>	Foundation System <sup>7,8</sup>
FS288BL2	FS288ES30	HP288 Low Profile 2 Bracket w/30" sleeve	24.0	63.6	40.0	1	35.5	24.0
FS288BL2-G	FS288ES30-G		26.8	71.1	40.0	1	35.5	26.8
FS350B2	FS350ES30	HP350 Standard Bracket w/30" Sleeve	49.2	105.0	40.0	2	61.3	49.2
FS350B2-G	FS350ES30-G		53.2	105.0	40.0	2	61.3	53.2

For **SI**: 1 inch = 25.4 mm, 1 kip = 1000 lbf = 4.448 kN.

<sup>1</sup>Part numbers with "G" suffix indicate hot-dip galvanized coating. Part numbers without a "G" suffix indicate plain steel.

<sup>2</sup>Bracket capacity is based on full scale load tests per AC308 with an installed 5'-0" unbraced pile length per Section 1810.2.1 of the 2021, 2018, 2015, 2012 and 2009 IBC (Section 1808.2.9.2 of the 2006 IBC), having a maximum of one coupling.

<sup>3</sup>Shaft capacity is applicable only to the foundation systems that are fully braced as described in Section 4.1.3.

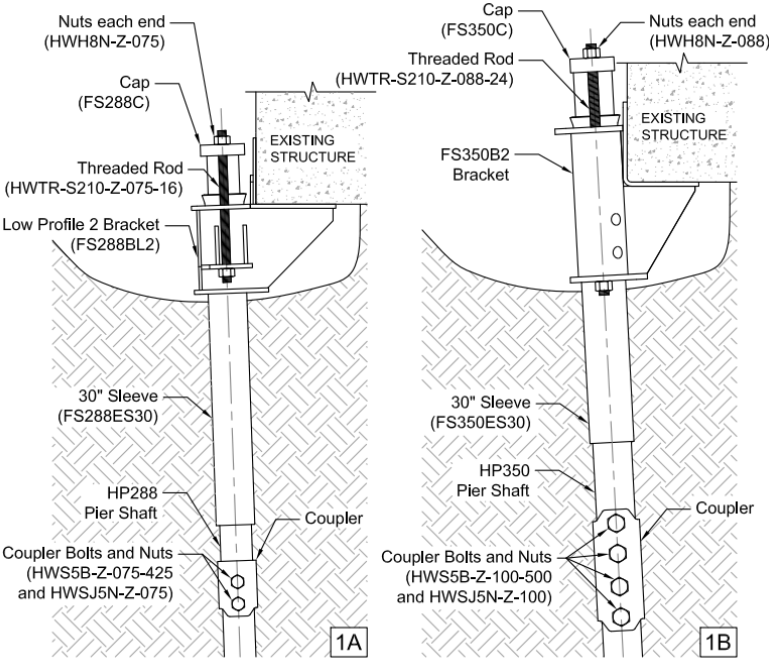
<sup>4</sup>Helix capacity is based on a single helix plate with outer diameter of 8, 10, 12 or 14 inches (203, 254, 305 or 356 mm).

<sup>5</sup>The minimum number of helix plates that must be used to achieve the full foundation system capacity.

<sup>6</sup>Soil capacity is based on torque correlation per Section 4.1.5 of this report, with piles installed at the maximum torsion rating.

<sup>7</sup>Foundation system allowable capacity is based on the lowest of P1, P2, P3 and P4 listed in this table. See Section 4.1.6 for additional requirements.

<sup>8</sup>Where the helical pile system is required to resist lateral forces, the lateral load capacity of side load brackets must be designed by a registered design professional in accordance with Chapter 18 of the IBC.



**FIGURES 1A AND 1B—HP288 AND HP350 RETROFIT BRACKET AND SHAFT ASSEMBLIES**



**TABLE 2—HP288 AND HP350 (WITH NEW CONSTRUCTION BRACKETS) ASD COMPRESSION CAPACITIES<sup>8,9</sup>**

Bracket Part No. <sup>1</sup>	Bearing Plate Dimensions (in)	Minimum Concrete Compressive Strength (psi)	Edge Distance "A" (in)	Allowable Compression Capacity (kips)					
				Bracket (P1) <sup>2</sup>	Shaft (P2) <sup>3</sup>	Helix (P3) <sup>4</sup> (Per Helix Plate)	Minimum Number of Helix Plates <sup>5</sup>	Soil (P4) <sup>6</sup>	Foundation System <sup>7</sup>
HP288NCB or HP288NCB-G	6 x 6 x 0.75	2500	3	33.1	63.6	40.0	1	35.5	33.1
			≥ 4	44.1	63.6	40.0	1	35.5	35.5
		3000	≥ 3	39.7	63.6	40.0	1	35.5	35.5
HP288NCB8 or HP288NCB8-G	8 x 8 x 0.75	2500	≥ 4	43.1	63.6	40.0	1	35.5	35.5
HP350NCB or HP350NCB-G	7 x 7 x 0.75	2500	4	51.5	105.0	40.0	2	61.3	51.5
			≥ 5	64.4	105.0	40.0	2	61.3	61.3
		3000	≥ 4	61.8	105.0	40.0	2	61.3	61.3
HP350NCB8 or HP350NCB8-G	8 x 8 x 0.75	2500	4	58.9	105.0	40.0	2	61.3	58.9
			≥ 5	65.9	105.0	40.0	2	61.3	61.3
		3000	≥ 4	65.9	105.0	40.0	2	61.3	61.3

For SI: 1 inch = 25.4 mm, 1 kip = 1000 lbf = 4.448 kN.

<sup>1</sup>Part numbers with "G" suffix indicate hot-dip galvanized coating. Part numbers without a "G" suffix indicate plain steel.

<sup>2</sup>Bracket capacity is based on localized limit state of concrete bearing only. All other limit states related to the concrete foundation, such as punching shear, have not been evaluated in this evaluation report.

<sup>3</sup>Shaft capacity is applicable only to the foundation systems that are fully braced as described in Section 4.1.3.

<sup>4</sup>Helix capacity is based on a single helix plate with outer diameter of 8, 10, 12 or 14 inches (203, 254, 305 or 356 mm).

<sup>5</sup>The minimum number of helix plates that must be used to achieve the full foundation system capacity.

<sup>6</sup>Soil capacity is based on torque correlation per Section 4.1.5 of this report, with piles installed at the maximum torsion rating.

<sup>7</sup>Foundation system allowable capacity is based on the lowest of P1, P2, P3 and P4 listed in this table. See Section 4.1.6 for additional requirements.

<sup>8</sup>Reduction of plain concrete [minimum of 24 MPa is required under ADIBC Appendix L, Section 5.1.1] thickness described in Section 14.5.1.7 of ACI 318-19 for the 2021 IBC, Section 14.5.1.7 of ACI 318-14 for the 2018 and 2015 IBC (Section 22.4.7 of ACI 318-11 for the 2012 IBC, Section 22.4.7 of ACI 318-08 for the 2009 IBC, and 22.4.8 of ACI 318-05 for the 2006 IBC) is assumed not applicable.

<sup>9</sup>Where the helical pile system is required to resist lateral forces, the lateral load capacity of new construction brackets must be designed by a registered design professional in accordance with Chapter 18 of the IBC.

**TABLE 3—HP288 AND HP350 (WITH NEW CONSTRUCTION BRACKETS) ASD TENSION CAPACITIES<sup>7</sup>**

Bracket Part No. <sup>1</sup>	Bearing Plate Dimensions (in)	Minimum Concrete Compressive Strength (psi)	Edge Distance "A" (in)	Allowable Tension Capacity (kips)					Foundation System <sup>6</sup>
				Bracket (P1) <sup>2,8</sup>	Shaft (P2)	Helix (P3) <sup>3</sup> (Per Helix Plate)	Minimum Number of Helix Plates <sup>4</sup>	Soil (P4) <sup>5</sup>	
HP288NCB or HP288NCB-G	6 x 6 x 0.75	2500	3	24.3	34.1	40.0	1	27.6	24.3
			≥ 4	32.4	34.1	40.0	1	27.6	27.6
		3000	≥ 3	29.1	34.1	40.0	1	27.6	27.6
			≥ 3	34.0	34.1	40.0	1	27.6	27.6
HP288NCB8 or HP288NCB8-G	8 x 8 x 0.75	2500	≥ 4	34.1	34.1	40.0	1	27.6	27.6
HP350NCB	7 x 7 x 0.75	2500	4	36.6	62.7	40.0	1	61.3	36.6
			5	45.8	62.7	40.0	2	61.3	45.8
			6	54.9	62.7	40.0	2	61.3	54.9
			≥ 7	58.3	62.7	40.0	2	61.3	58.3
		3000	4	43.9	62.7	40.0	2	61.3	43.9
			5	54.9	62.7	40.0	2	61.3	54.9
			≥ 6	58.3	62.7	40.0	2	61.3	58.3
		3500	4	51.2	62.7	40.0	2	61.3	51.2
			≥ 5	58.3	62.7	40.0	2	61.3	58.3
		4000	≥ 4	58.3	62.7	40.0	2	61.3	58.3
			≥ 4	58.3	62.7	40.0	2	61.3	58.3
			≥ 4	58.3	62.7	40.0	2	61.3	58.3
HP350NCB-G	7 x 7 x 0.75	2500	4	36.6	69.0	40.0	1	61.3	36.6
			5	45.8	69.0	40.0	2	61.3	45.8
			6	54.9	69.0	40.0	2	61.3	54.9
			≥ 7	63.3	69.0	40.0	2	61.3	61.3
		3000	4	43.9	69.0	40.0	2	61.3	43.9
			5	54.9	69.0	40.0	2	61.3	54.9
			≥ 6	63.3	69.0	40.0	2	61.3	61.3
		3500	4	51.2	69.0	40.0	2	61.3	51.2
			≥ 5	63.3	69.0	40.0	2	61.3	61.3
		4000	4	58.5	69.0	40.0	2	61.3	58.5
			≥ 5	63.3	69.0	40.0	2	61.3	61.3
			≥ 5	63.3	69.0	40.0	2	61.3	61.3

**TABLE 3—HP288 AND HP350 (WITH NEW CONSTRUCTION BRACKETS) ASD TENSION CAPACITIES<sup>7</sup> (Continued)**

Bracket Part No. <sup>1</sup>	Bearing Plate Dimensions (in)	Minimum Concrete Compressive Strength (psi)	Edge Distance "A" (in)	Allowable Tension Capacity (kips)					
				Bracket (P1) <sup>2,8</sup>	Shaft (P2)	Helix (P3) <sup>3</sup> (Per Helix Plate)	Minimum Number of Helix Plates <sup>4</sup>	Soil (P4) <sup>5</sup>	Foundation System <sup>6</sup>
HP350NCB8	8 x 8 x 0.75	2500	4	45.8	69.0	40.0	2	61.3	45.8
			≥ 5	51.3	62.7	40.0	2	61.3	51.3
		3000	≥ 4	51.3	62.7	40.0	2	61.3	51.3
HP350NCB8-G	8 x 8 x 0.75	2500	4	45.8	62.7	40.0	2	61.3	45.8
			≥ 5	55.6	69.0	40.0	2	61.3	55.6
		3000	4	55.0	69.0	40.0	2	61.3	55.0
			≥ 5	55.6	69.0	40.0	2	61.3	55.6
		3500	≥ 4	55.6	69.0	40.0	2	61.3	55.6
			≥ 5	55.6	69.0	40.0	2	61.3	55.6

For **SI**: 1 inch = 25.4 mm, 1 kip = 1000 lbf = 4.448 kN, 1 psi = 6.895 kPa.

<sup>1</sup>Part numbers with "G" suffix indicate hot-dip galvanized coating. Part numbers without a "G" suffix indicate plain steel.

<sup>2</sup>Bracket capacity is based on localized limit state of concrete bearing only. All other limit states related to the concrete foundation, such as punching shear, have not been evaluated in this evaluation report.

<sup>3</sup>Helix capacity is based on a single helix plate with outer diameter of 8, 10, 12 or 14 inches (203, 254, 305 or 356 mm).

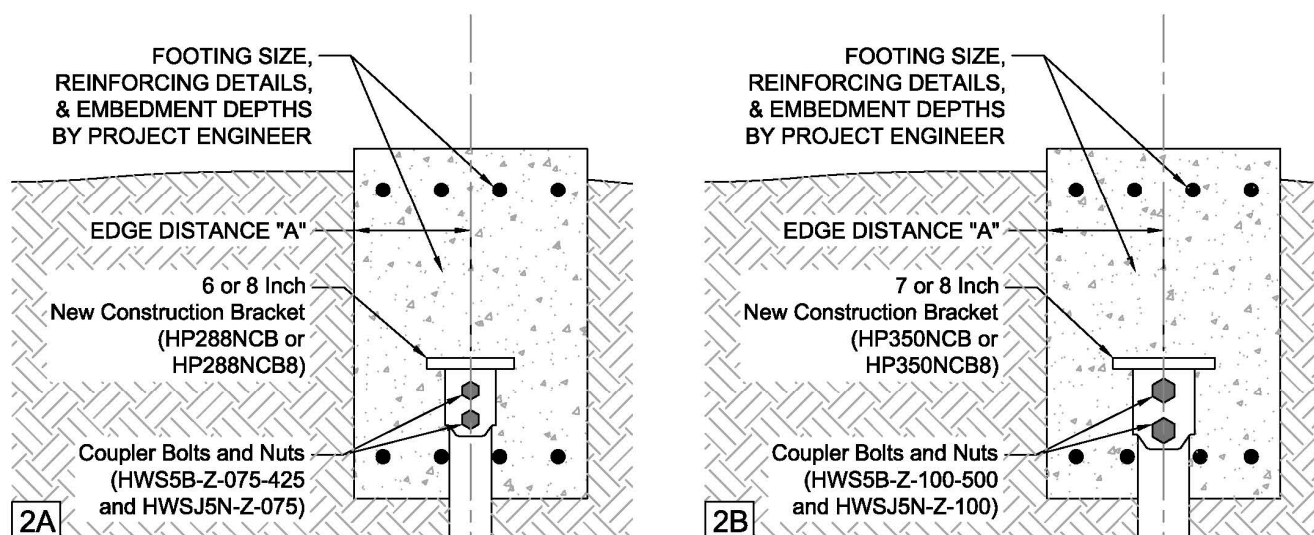
<sup>4</sup>The minimum number of helix plates that must be used to achieve the full foundation system capacity.

<sup>5</sup>Soil capacity is based on torque correlation per Section 4.1.5 of this report, with piles installed at the maximum torsion rating.

<sup>6</sup>Foundation system allowable capacity is based on the lowest of P1, P2, P3 and P4 listed in this table. See Section 4.1.6 for additional requirements.

<sup>7</sup>Reduction of plain concrete [minimum of 24 MPa is required under ADIBC Appendix L, Section 5.1.1] thickness described in Section 14.5.1.7 of ACI 318-19 for the 2021 IBC, Section 14.5.1.7 of ACI 318-14 for the 2018 and 2015 IBC (Section 22.4.7 of ACI 318-11 for the 2012 IBC, Section 22.4.7 of ACI 318-08 for the 2009 IBC, and 22.4.8 of ACI 318-05 for the 2006 IBC) is assumed not applicable.

<sup>8</sup>Bolts must be installed in accordance with Sections 3.2.2.3, 3.3.7.3 and 4.2.4 of this report.

**FIGURES 2A AND 2B—HP288 AND HP350 NEW CONSTRUCTION BRACKET ASSEMBLIES****TABLE 4—MECHANICAL PROPERTIES OF HP288 AND HP350 SHAFTS**

Mechanical Properties	Un-corroded		After 50 Year Corrosion Loss			
	Plain Steel		Plain Steel		Hot-dip Galvanized Steel	
	HP288	HP350	HP288	HP350	HP288	HP350
Steel Minimum Yield Strength, $F_y$	60 ksi	65 ksi	60 ksi	65 ksi	60 ksi	65 ksi
Steel Minimum Ultimate Strength, $F_u$	70 ksi	75 ksi	70 ksi	75 ksi	70 ksi	75 ksi
Modulus of Elasticity, $E$	29,000 ksi	29,000 ksi	29,000 ksi	29,000 ksi	29,000 ksi	29,000 ksi
Nominal Wall Thickness	0.276 in.	0.340 in.	0.276 in.	0.340 in.	0.276 in.	0.340 in.
Design Wall Thickness	0.257 in.	0.316 in.	0.221 in.	0.280 in.	0.247 in.	0.306 in.
Outside Diameter, OD	2.875 in.	3.5 in.	2.839 in.	3.464 in.	2.865 in.	3.490 in.
Inside Diameter, ID	2.361 in.	2.868 in.	2.397 in.	2.904 in.	2.371 in.	2.878 in.
Cross Sectional Area, $A$	2.11 in <sup>2</sup>	3.16 in <sup>2</sup>	1.82 in <sup>2</sup>	2.80 in <sup>2</sup>	2.03 in <sup>2</sup>	3.06 in <sup>2</sup>
Moment of Inertia, $I$	1.83 in <sup>4</sup>	4.05 in <sup>4</sup>	1.57 in <sup>4</sup>	3.58 in <sup>4</sup>	1.76 in <sup>4</sup>	3.91 in <sup>4</sup>
Radius of Gyration, $r$	0.93 in.	1.13 in.	0.93 in.	1.13 in.	0.93 in.	1.13 in.
Elastic Section Modulus, $S$	1.27 in <sup>3</sup>	2.31 in <sup>3</sup>	1.10 in <sup>3</sup>	2.07 in <sup>3</sup>	1.23 in <sup>3</sup>	2.24 in <sup>3</sup>
Plastic Section Modulus, $Z$	1.77 in <sup>3</sup>	3.21 in <sup>3</sup>	1.52 in <sup>3</sup>	2.85 in <sup>3</sup>	1.70 in <sup>3</sup>	3.11 in <sup>3</sup>

For **SI**: 1 inch = 25.4 mm, 1 ksi = 6.895 MPa, 1lbf-ft = 1.356 N-m, 1 lbf = 4.448 N.

TABLE 5—HP288 AND HP350 LEAD AND EXTENSION ASD TENSION AND COMPRESSION CAPACITIES<sup>1,6</sup>

Lead/Extension Part No.	Net Shaft Length "L" (in)	Helix Diameter (in)				(P2) <sup>2</sup> Shaft Comp. (kips)	(P2) Shaft Ten. (kips)	(P3) <sup>3</sup> Helix (kips)	K <sub>t</sub> (ft <sup>-1</sup> )	Shaft Torsion Rating <sup>4</sup> (lbf-ft)	(P4) <sup>5</sup> Torque Correlated Soil Capacity (kips)	
		A	B	C	D						Comp.	Ten.
HP288L5H8-3850	60	8	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L5H0-3850	60	10	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L5H2-3850	60	12	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L5H4-3850	60	14	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L5H80-3850	60	8	10	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L5H02-3850	60	10	12	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L5H24-3850	60	12	14	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L7H8-3850	84	8	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L7H0-3850	84	10	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L7H2-3850	84	12	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L7H4-3850	84	14	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288L7H80-3850	84	8	10	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L7H02-3850	84	10	12	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L7H24-3850	84	12	14	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L7H802-3850	84	8	10	12	--	63.6	34.1	120.0	9	7898	35.5	27.6
HP288L7H024-3850	84	10	12	14	--	63.6	34.1	120.0	9	7898	35.5	27.6
HP288L0H80-3850	120	8	10	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L0H02-3850	120	10	12	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L0H24-3850	120	12	14	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288L0H802-3850	120	8	10	12	--	63.6	34.1	120.0	9	7898	35.5	27.6
HP288L0H024-3850	120	10	12	14	--	63.6	34.1	120.0	9	7898	35.5	27.6
HP288L0H8024-3850	120	8	10	12	14	63.6	34.1	160.0	9	7898	35.5	27.6
HP288E3H4-3850	30	14	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288E4H4-3850	42	14	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288E5H4-3850	54	14	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288E7H4-3850	78	14	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288E0H4-3850	114	14	--	--	--	63.6	34.1	40.0	9	7898	35.5	27.6
HP288E7H44-3850	78	14	14	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288E0H44-3850	114	14	14	--	--	63.6	34.1	80.0	9	7898	35.5	27.6
HP288E3	30	--	--	--	--	63.6	34.1	NA	9	7898	35.5	27.6
HP288E5	54	--	--	--	--	63.6	34.1	NA	9	7898	35.5	27.6
HP288E7	78	--	--	--	--	63.6	34.1	NA	9	7898	35.5	27.6
HP288E0	114	--	--	--	--	63.6	34.1	NA	9	7898	35.5	27.6
HP350LS5H8-3850	60	8	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS5H0-3850	60	10	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS5H2-3850	60	12	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS5H4-3850	60	14	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS5H80-3850	60	8	10	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS5H02-3850	60	10	12	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS5H24-3850	60	12	14	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS7H8-3850	84	8	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS7H0-3850	84	10	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS7H2-3850	84	12	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS7H4-3850	84	14	--	--	--	105.0	62.7	40.0	7	17500	40.0	40.0
HP350LS7H80-3850	84	8	10	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS7H02-3850	84	10	12	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS7H24-3850	84	12	14	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS7H802-3850	84	8	10	12	--	105.0	62.7	120.0	7	17500	61.3	61.3
HP350LS7H024-3850	84	10	12	14	--	105.0	62.7	120.0	7	17500	61.3	61.3
HP350LS0H80-3850	120	8	10	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS0H02-3850	120	10	12	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS0H24-3850	120	12	14	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350LS0H802-3850	120	8	10	12	--	105.0	62.7	120.0	7	17500	61.3	61.3
HP350LS0H024-3850	120	10	12	14	--	105.0	62.7	120.0	7	17500	61.3	61.3
HP350LS0H8024-3850	120	8	10	12	14	105.0	62.7	160.0	7	17500	61.3	61.3
HP350E5H4-3850	60	14	--	--	--	105.0	62.7	40.0	7	17500	61.3	61.3
HP350E7H4-3850	84	14	--	--	--	105.0	62.7	40.0	7	17500	61.3	61.3
HP350E0H4-3850	120	14	--	--	--	105.0	62.7	40.0	7	17500	61.3	61.3
HP350E7H44-3850	84	14	14	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350E0H44-3850	120	14	14	--	--	105.0	62.7	80.0	7	17500	61.3	61.3
HP350E3	36	--	--	--	--	105.0	62.7	NA	7	17500	61.3	61.3
HP350E4	48	--	--	--	--	105.0	62.7	NA	7	17500	61.3	61.3
HP350E5	60	--	--	--	--	105.0	62.7	NA	7	17500	61.3	61.3
HP350E7	84	--	--	--	--	105.0	62.7	NA	7	17500	61.3	61.3
HP350E0	120	--	--	--	--	105.0	62.7	NA	7	17500	61.3	61.3

For SI: 1 inch = 25.4 mm, 1 kip = 1000 lbf = 4.448 kN, 1lbf-ft = 1.356 N-m.

NA = not applicable

<sup>1</sup>Part numbers with "G" suffix indicate hot-dip galvanized coating. Part numbers without a "G" suffix indicate plain steel.

<sup>2</sup>Shaft compression capacity (P2) is based on fully braced conditions as described in Section 4.1.3.

<sup>3</sup>Helix capacity (P3) is applicable to both tension and compression loading and is based on a 40-kip allowable capacity per helix plate. Helix plate capacity for extension sections is considered additive to the system capacity. Total helix plate capacity is the sum of the helix plate capacity of the lead section and helix plate capacity of the helical extensions.

<sup>4</sup>Shaft torsion rating is the maximum torsion that can be applied to the shaft during the helical pile installation.

<sup>5</sup>Torque correlated soil capacity (P4) is applicable to both tension and compression loading and is based on torque correlation per Section 4.1.5, with piles installed at the maximum torsion rating.

<sup>6</sup>For piles with extension(s), shaft coupling(s) must be installed in accordance with Sections 3.2.1 and 4.2.2 of this report.

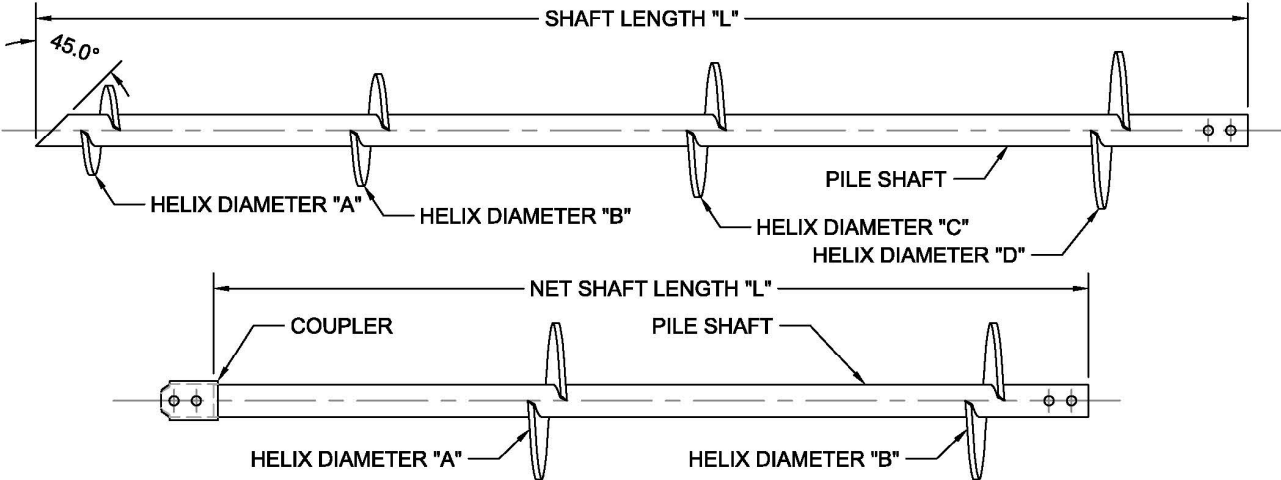


FIGURE 3—TYPICAL HP288 SHAFT LEAD AND EXTENSION SECTIONS AND HELIX PLATES

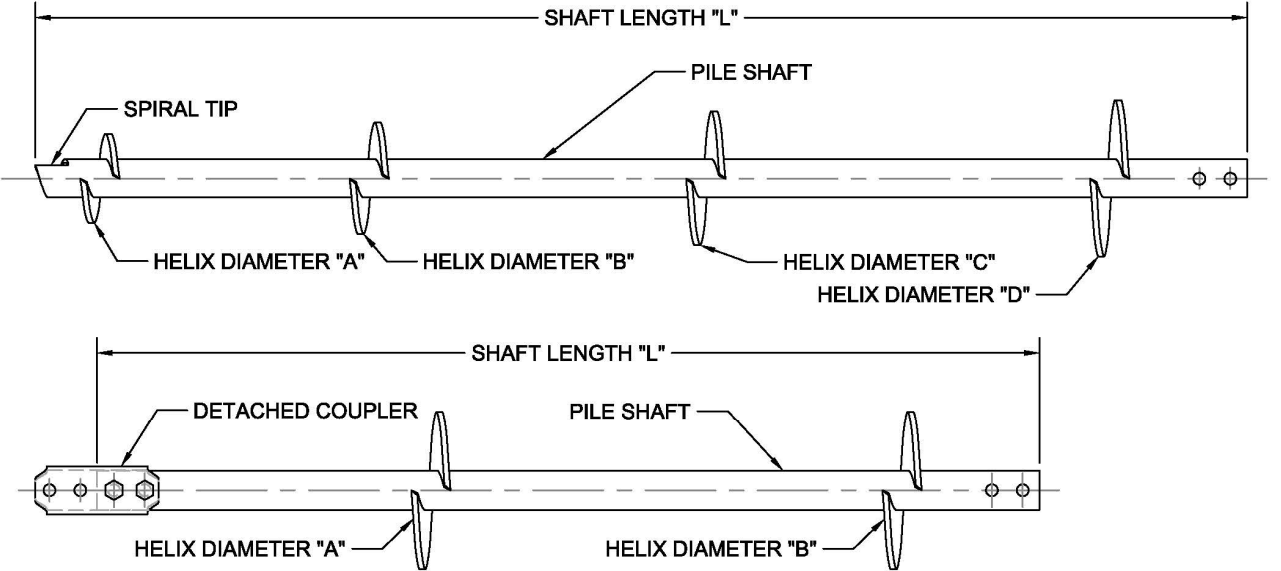


FIGURE 4—TYPICAL HP350 SHAFT LEAD AND EXTENSION SECTIONS AND HELIX PLATES



# ICC-ES Evaluation Report

# ESR-3074 LABC and LARC Supplement

Reissued July 2023

Revised November 2023

*This report is subject to renewal July 2025.*

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**DIVISION: 31 00 00—EARTHWORK**

**Section: 31 63 00—Bored Piles**

## REPORT HOLDER:

**SUPPORTWORKS, INC.**

## EVALUATION SUBJECT:

**SUPPORTWORKS HELICAL FOUNDATION SYSTEMS**

### 1.0 REPORT PURPOSE AND SCOPE

#### Purpose:

The purpose of this evaluation report supplement is to indicate that the Supportworks Helical Foundation Systems, described in ICC-ES evaluation report [ESR-3074](#), have also been evaluated for compliance with the code noted below as adopted by the Los Angeles Department of Building and Safety (LADBS).

#### Applicable code editions:

- 2020 *City of Los Angeles Building Code* (LABC)
- 2020 *City of Los Angeles Residential Code* (LARC)

### 2.0 CONCLUSIONS

The Supportworks Helical Foundation Systems, described in Sections 2.0 through 7.0 of the evaluation report [ESR-3074](#), comply with the LABC Section 1810, and are subject to the conditions of use described in this supplement.

### 3.0 CONDITIONS OF USE

The Supportworks Helical Foundation Systems described in this evaluation report must comply with all of the following conditions:

- All applicable sections in the evaluation report [ESR-3074](#).
- The design, installation, conditions of use and identification of the helical foundation systems are in accordance with the 2018 *International Building Code*® (IBC) provisions noted in the evaluation report [ESR-3074](#).
- The design, installation and inspection are in accordance with additional requirements of LABC Chapters 16 and 17, Sections 1803 and 1810.3.1.5, as applicable.
- The helical foundation system may be used in new construction and resist horizontal loads as an exception to LABC Section 1810.3.1.5, provided the following conditions are followed:
  - The helical foundation system must comply with the provisions in [ESR-3074](#) that apply to installation in Seismic Design Categories D, E and F.
  - A soil investigation report as required by LABC Section 1803.1 shall be submitted to the Los Angeles Department of Building and Safety Grading Division for review and approval for each site where helical piles are installed.
  - For installation of helical piles under LABC covered structures, axial and lateral (where used) capacities of helical piles shall be determined in accordance with LABC Section 1810.3.3 by at least two project specific preproduction tests for each soil profile, size and depth of helical pile. At least two percent of all production piles shall be proof tested to the design strength, determined by using load combinations in LABC Section 1605.2.

- For installation of helical piles under LARC covered structures, axial and lateral (where used) capacities of helical piles shall be determined in accordance with LABC Section 1810.3.3 by at least one project specific preproduction tests for each soil profile, size and depth of helical pile. At least two percent of all production piles shall be proof tested to the design strength determined by using load combinations in LABC Section 1605.2.
- Helical piles installation shall be performed under the inspection and approval of the soils engineer and the continuous inspection and approval of the deputy grading inspector. The information recorded shall include installation equipment used, pile dimensions, tip elevations, final depth, final installation torque and other pertinent installation data as required by soils engineer.
- Helical piles shall satisfy corrosion resistance requirements of AC308. In addition, all helical piles materials that are subject to corrosion shall include at least 1/16-inch corrosion allowance.
- The allowable axial design load must comply with LABC Section 1810.3.3.1.9.
- The allowable lateral load must comply with [ESR-3074](#). The seismic demand force must not exceed the allowable lateral load reported in ESR-3074.
- Under the LARC, an engineered design in accordance with LARC Section R301.1.3 must be submitted.

This supplement expires concurrently with the evaluation report, reissued July 2023 and revised November 2023.

DIVISION: 31 00 00—EARTHWORK  
Section: 31 63 00—Bored Piles

**REPORT HOLDER:**

SUPPORTWORKS, INC.

**EVALUATION SUBJECT:**

SUPPORTWORKS HELICAL FOUNDATION SYSTEMS

**1.0 REPORT PURPOSE AND SCOPE****Purpose:**

The purpose of this evaluation report supplement is to indicate that Supportworks Helical Foundation Systems, described in ICC-ES evaluation report ESR-3074, have also been evaluated for compliance with the codes noted below.

**Applicable code editions:**

- 2019 *California Building Code* (CBC)

For evaluation of applicable chapters adopted by the California Office of Statewide Health Planning and Development (OSHPD) AKA: California Department of Health Care Access and Information (HCAI) and the Division of State Architect (DSA), see Sections 2.1.1 and 2.1.2 below.

- 2019 *California Residential Code* (CRC)

**2.0 CONCLUSIONS****2.1 CBC:**

The Supportworks Helical Foundation Systems, described in Sections 2.0 through 7.0 of the evaluation report ESR-3074, comply with CBC Chapter 18, provided the design and installation are in accordance with the 2018 *International Building Code*® (IBC) provisions noted in the evaluation report and the additional requirements of CBC Chapters 16, 17 and 18, as applicable.

**2.1.1 OSHPD:**

The applicable OSHPD Sections and Chapters of the CBC are beyond the scope of this supplement.

**2.1.2 DSA:**

The applicable DSA Sections and Chapters of the CBC are beyond the scope of this supplement.

**2.2 CRC:**

The Supportworks Helical Foundation Systems, described in Sections 2.0 through 7.0 of the evaluation report ESR-3074, comply with CRC Chapter 3, provided the design and installation are in accordance with the 2018 *International Residential Code*® (IRC) provisions noted in the evaluation report.

This supplement expires concurrently with the evaluation report, reissued July 2023 and revised November 2023.

# ICC-ES Evaluation Report

# ESR-3074 FBC Supplement

Reissued July 2023

Revised November 2023

This report is subject to renewal July 2025.

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DIVISION: 31 00 00—EARTHWORK

Section: 31 63 00—Bored Piles

## REPORT HOLDER:

SUPPORTWORKS, INC.

## EVALUATION SUBJECT:

SUPPORTWORKS HELICAL FOUNDATION SYSTEMS

## 1.0 REPORT PURPOSE AND SCOPE

### Purpose:

The purpose of this evaluation report supplement is to indicate that the Supportworks, Inc. (Supportworks) Models HP288 and HP350 Helical Foundation Systems, described in ICC-ES evaluation report ESR-3074, have also been evaluated for compliance with the codes noted below.

### Applicable code editions:

- 2020 Florida Building Code—Building
- 2020 Florida Building Code—Residential

## 2.0 CONCLUSIONS

The Supportworks Models HP288 and HP350 Helical Foundation Systems, described in Sections 2.0 through 7.0 of the evaluation report ESR-3074, comply with the *Florida Building Code—Building* and the *Florida Building Code—Residential*, provided the design requirements are determined in accordance with the in accordance with the *Florida Building Code—Building* and the *Florida Building Code—Residential*, as applicable. The installation requirements noted in ICC-ES evaluation report ESR-3074 for the 2018 *International Building Code*® meet the requirements of the *Florida Building Code—Building* and the *Florida Building Code—Residential*.

Use of the Supportworks Models HP288 and HP350 Helical Foundation Systems for compliance with the High-Velocity Hurricane Zone provisions of the *Florida Building Code—Building* and the *Florida Building Code—Residential* has not been evaluated, and is outside the scope of this evaluation report.

For products falling under Florida Rule 61G20-3, verification that the report holder's quality-assurance program is audited by a quality-assurance entity approved by the Florida Building Commission for the type of inspections being conducted is the responsibility of an approved validation entity (or the code official, when the report holder does not possess an approval by the Commission).

This supplement expires concurrently with the evaluation report ESR-3074, reissued July 2023 and revised November 2023.